

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
25 March 2004 (25.03.2004)

PCT

(10) International Publication Number
WO 2004/024327 A1

(51) International Patent Classification⁷: **B01L 3/00**

(21) International Application Number:
PCT/US2003/028086

(22) International Filing Date:
5 September 2003 (05.09.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
10/243,089 12 September 2002 (12.09.2002) US

(71) Applicant: **INTEL CORPORATION** [US/US]; 2200 Mission College Boulevard, Santa Clara, CA 95052 (US).

(72) Inventors: **YAMAKAWA, Mineo**; 254 West Rincon Avenue, #8, Campbell, CA 95008 (US). **HECK, John**; 4151-B El Camino Way, Palo Alto, CA 94306 (US). **CHAN, Selena**; 65 Rio Robles East, #3311, San Jose, CA 95134 (US). **SUNDARARAJAN, Narayanan**; 126 Crespi Drive, San Francisco, CA 94132 (US).

(74) Agents: **MALLIE, Michael, J. et al.**; Blakely Sokoloff Taylor & Zafman, 7th floor, 12400 Wilshire Boulevard, Los Angeles, CA 90025 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

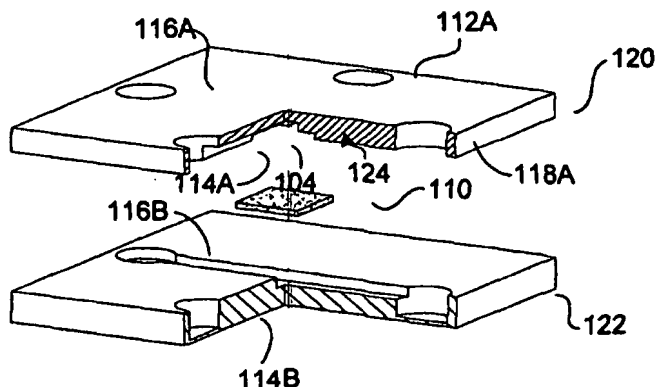
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: MICROFLUIDIC APPARATUS WITH INTEGRATED POROUS-SUBSTRATES/SENSOR FOR REAL-TIME(BIO)CHEMICAL MOLECULE DETECTION



(57) Abstract: Microfluid apparatus including integrated porous substrate/sensors that may be used for detecting targeted biological and chemical molecules and compounds. In one aspect, upper and lower microfluidic channels are defined in respective halves of a substrate, which are sandwiched around a porous membrane upon assembly. In another aspect, the upper and lower channels are formed such that a portion of the lower channel passes beneath a portion of the upper channel to form a cross-channel area, wherein the membrane is disposed between the two channels. In various embodiments, one or more porous membranes are disposed proximate to corresponding cross-channel areas defined by one or more upper and lower channels. The porous membrane may also have sensing characteristics, such that it produces a change in an optical and/or electronic characteristic.

Accordingly, the apparatus may further include instrumentation or detection equipment to measure the changes, such as optic-based detectors and electronic instrumentation.

WO 2004/024327 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

MICROFLUIDIC APPARATUS WITH INTEGRATED POROUS-
SUBSTRATE/SENSOR FOR REAL-TIME (BIO)CHEMICAL MOLECULE
DETECTION

5

TECHNICAL FIELD

This disclosure relates generally to microfluidic devices, and more particularly, but not exclusively, to microfluidic devices having porous membranes with integrated sensors for filtering and detection of biological and/or chemical molecules.

BACKGROUND INFORMATION

10

As the breadth of microchip fabrication technology has continued to expand, an emerging technology associated with miniscule gadgets known as microfluidic devices has taken shape. Microfluidic devices, often comprising miniaturized versions of reservoirs, pumps, valves, filters, mixers, reaction chambers, and a network of capillaries interconnecting the microscale components, are being developed to serve in a variety of deployment scenarios. For example, microfluidic devices may be designed to perform multiple reaction and analysis techniques in one micro-instrument by providing a capability to perform hundreds of operations (*e.g.* mixing, heating, separating) without manual intervention. In some cases, microfluidic devices may function as detectors for airborne toxins, rapid DNA analyzers for crime-scene investigators, and/or new pharmaceutical testers to expedite drug development.

15

20

Recently, researchers have shown that porous substrates, such as nanocrystalline silicon, can be manufactured to detect particular chemical and biomolecular structures. For example, one of these researchers has developed a porous substrate that may be used to detect TNT and dinitrotoluene at the parts per billion (ppb) level (*cf.*, <http://chem-faculty.ucsd.edu/sailor>).

25

While the applications of such microfluidic devices and sensing substrates may be virtually boundless, the integration of some microscale components into

microfluidic systems has been technically difficult, thereby limiting the range of functions that may be accomplished by a single device or combination of devices. In particular, current microfluidic systems have not adequately integrated a size-separating (or excluding) filter into a microfluidic chip. As such, separations may generally be carried out in external packed porous media or polymer-based nanopore membranes, thereby increasing contamination risks and introducing additional complexity and manual interaction into the performance of an analysis or other technique. Furthermore, sensing substrates have also not been integrated into a chip or the like.

10 BRIEF DESCRIPTION OF THE
VARIOUS VIEWS OF THE DRAWINGS

In the drawings, like reference numerals refer to like parts throughout the various views of the non-limiting and non-exhaustive embodiments of the present invention, and wherein:

15 Figures 1a-f are various views of a microfluidic device in accordance with an embodiment of the invention, wherein Figures 1a and 1b are exploded isometric views, Figure 1c is a cross-section view corresponding to section cut 1c-1c, Figure 1d is a isometric hidden line view, Figure 1e is an isometric view including a composite section cut, and Figure 1f is a plan view including section cut 1c-1c;

20 Figures 2a-e are various views of a microfluidic device in accordance with an embodiment of the invention, wherein Figures 2a and 2b are exploded isometric views, Figure 2c is a isometric hidden line view, Figure 2d is a plan view including section cut 2e-2e, and Figure 2e is a cross-section view corresponding to section cut 2e-2e;

Figures 3a-e are various views of a microfluidic device in accordance with
25 an embodiment of the invention that is a modification of the embodiment shown in
Figures 2a-e, wherein Figures 3a and 3b are exploded isometric views, Figure 3c is a

Figures 8a-c depict various views of optical sensing equipment implemented for detecting changes in an optical characteristic of a porous membrane/sensor corresponding to the embodiment of Figures 1a-f, wherein volumes internal to the substrate are shown;

5 Figures 9a-c depict various views of optical sensing equipment implemented for detecting changes in an optical characteristic of a porous membrane/sensor corresponding to the embodiment of Figures 4a-e wherein volumes internal to the substrate are shown; and

Figure 10 is a schematic diagram illustrating an embodiment of the
10 invention for detecting changes in an electrical characteristic of a porous membrane/substrate.

DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS

15 Embodiments of a microfluidic device with an integrated porous-silicon membrane for molecular sieving, metering, and separations, and methods for fabricating and using the same are described in detail herein. In the following description, numerous specific details are provided, such as the identification of various system components, to provide a thorough understanding of embodiments of the invention. One skilled in the art
20 will recognize, however, that embodiments of the invention can be practiced without one or more of the specific details, or with other methods, components, materials, *etc.* In still other instances, well-known structures, materials, or operations are not shown or described in detail to avoid obscuring aspects of various embodiments of the invention.

Reference throughout this specification to “one embodiment” or “an
25 embodiment” means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment of the present

invention. Thus, the appearance of the phrases "in one embodiment" or "in an embodiment" in various places throughout this specification are not necessarily all referring to the same embodiment. Furthermore, the particular features, structures, or characteristics may be combined in any suitable manner in one or more embodiments.

5 As an overview, embodiments of the invention provide a microfluidic device with at least one integrated porous-silicon membrane to sieve, meter, and/or separate molecular components from an influent stream introduced into the microfluidic device. Other features of the illustrated embodiments will be apparent to the reader from the foregoing and the appended claims, and as the detailed description and discussion is
10 read in conjunction with the accompanying drawings.

A microfluidic apparatus 100 in accordance with one embodiment of the invention is shown in Figures 1a-f. Microfluidic apparatus 100 includes a platform substrate 102 in which upper and lower microfluidic channels 104 and 106 are formed. The upper and lower microfluidic channels are oriented such that the upper channel
15 crosses over the lower channel at a "cross-channel" area 108. A porous substrate 110 is disposed between the upper and lower channels proximate to this cross-channel area. As described below in further detail, the porous substrate 110 includes a plurality of pores through which molecular portions of some fluids, including liquids and gases, may pass, while restricting passage of other molecules.

20 In various embodiments, reservoirs may be connected to one or both ends of the upper channel and/or the lower channel. For example, in the illustrated embodiment, input and output reservoirs 112 and 114 are connected at respective input and output ends of upper channel 104, while input and output reservoirs 116 and 118 are connected at respective input and output ends of lower channel 106. In general, it will be
25 desired to have liquid flow through each of the upper and lower channels in a particular

direction. In consideration of this, in one embodiment the depth of the output reservoirs is extended below the channel depth. As a result, when fluid is added to the input reservoirs, it is caused to flow through the channels to the output reservoirs. In place of or in addition to the output reservoirs, respective exit paths for the upper and lower channels may also be provided (not shown).

Generally, the platform substrate will comprise an upper and lower half, which are sandwiched around one or more porous membrane/sensors. For example, as shown in Figures 1E and 1F, the platform substrate includes an upper substrate member 120 and a lower substrate member 122. As shown in Figure 1F, the upper microfluidic channel 104 is formed in the upper substrate member, while the lower microfluidic channel 106 is formed in the lower substrate. In one embodiment, lower portions 116B, 118B and 114B of input and output reservoirs 116 and 118 and output reservoir 114, respectively are formed in the lower substrate member, while corresponding through holes 112A, 114A, 116A and 118A are defined in the upper substrate member. In general, the upper and lower substrate members will be sandwiched around the porous membrane 110 upon assembly. Accordingly, a recess in which the porous membrane will be disposed upon assembly may be formed in either the upper or lower substrate member. For example, in the illustrated embodiment, a recess 124 is defined in upper substrate member 120.

An embodiment of a single "flow-through" microfluidic apparatus 200 is shown in Figure 2a-e. In one implementation a first reactant fluid enters an input reservoir 212 and flows into upper channel 204. At the same time, a second reactant fluid enters an input/output reservoir 214 and flows into a lower channel 206. Portions of the first and second reactants then pass through the pores in a porous membrane 210 and mix to produce a reaction. In a manner similar to that discussed above, in response to certain

chemical reactions, the porous membrane may change an optical or electrical characteristic, thereby enabling the chemical reaction to be sensed.

In another implementation of the embodiment illustrated in Figures 2a-e, a single fluid is input into input reservoir 212 and flows into upper channel 204. A portion of the fluid then passes through porous membrane 210 and into lower channel 206. The portion of the fluid passing through the porous membrane may then be collected in input/output reservoir 214. In this embodiment, the fluid may cause a change in an optical and/or electrical characteristic of the porous membrane in a similar manner to that discussed above.

In one embodiment, microfluidic apparatus 200 comprises a three-part assembly, including an upper substrate member 220, and a lower substrate member 222, which are sandwiched around porous membrane 210. As before, a recess may be formed in either the upper or lower substrate member to receive the porous membrane, such as a recess 224 formed in upper substrate member 220.

A microfluidic apparatus 200A having a configuration substantially similar to microfluidic apparatus 200 is shown in Figures 3a-e. The primary difference between the two apparatus' is that microfluidic apparatus 200A includes an exit port 230 rather than an input/output reservoir 214. Modifications to accommodate this change are shown in upper and lower substrate members 220A and 222A.

A microfluidic apparatus 300 in accordance with another embodiment of the invention is shown in Figures 4a-e. Microfluidic apparatus 300 includes a plurality of upper channels 304A, 304B, and 304C formed in an upper substrate member 320 and a plurality of lower microfluidic channels 306A, 306B, and 306C formed in a lower substrate member 322. Optionally, a plurality of input reservoirs 312_n (a-c) and 316_n and output reservoirs 314_n and 318_n may also be provided. In one embodiment, a plurality of

porous membranes 310 are disposed within respective recesses (not shown) in upper substrate member 320 in a manner similar to that described above. In another embodiment, a single porous membrane 310A may be used, as shown in a microfluidic apparatus 500A shown in Figures 5a-e. As yet another option, the single porous
5 membrane may be fabricated to include a plurality of porous sections, such as square or rectangular sections configured in an array (not shown).

A microfluidic apparatus 600 in accordance with another embodiment of the invention is shown in Figures 6a-e. The apparatus includes an upper substrate member 622 in which three upper channels 604A, 604B, and 604C are formed. Optional
10 input reservoirs 112 are disposed at the input ends of each of channels 604A-C, while the output ends of the channels meet at an intersection 611. The apparatus further includes a lower substrate member 622 in which a single lower microfluidic channel 606 is formed, wherein the lower substrate is similar in configuration with lower substrate member 164 for microfluidic apparatus 150. An output reservoir 616 may also be provided to collect
15 fluids exiting the lower microfluidic channel. The apparatus further includes a porous membrane 610 disposed within a recess 624 formed in upper substrate member 620, wherein the recess is located proximate to intersection 611.

Microfluidic apparatus 600 will typically be used in the following manner. Respective fluid reactants will be received at the input ends of the upper microfluidic
20 channels 604 (e.g., via input reservoirs 612A-C). The fluid reactants will then merge at intersection 611, causing a chemical reaction. A portion of the reactant chemical compound thereby formed will flow into the pores in porous membrane 610, thereby causing a potential change in an optical and/or electrical characteristic of the porous membrane. Such a characteristic change may be measured in the manners described
25 below.

Porous Membrane Manufacture and Characteristics

In accordance with one aspect, the porous membrane comprises a porous structure that may be used for filtering, metering, and/or separating chemical and/or biological molecules. In general, a porous membrane may be manufactured such that its porosity is greatest along a selected direction. Furthermore, through the manufacturing process described below, the pore sizes can be tuned from a few nanometers to micrometers, thereby enabling the filtration, metering and separation of targeted chemical and biological molecules.

In general, the porous membranes and porous membrane/sensors may be made from a wide-range of materials in which nano- and micro-porous structures may be formed. For example, such materials include but are not limited to single crystal porous silicon (PSi), porous polysilicon (PPSi), porous silica, zeolites, photoresists, porous crystals/aggregates, *etc.* Typically, the porous membranes will be used for molecular separation and/or molecular (bio)reaction media with built-in real-time detection/monitoring of processes, molecules, fluids, reaction states, *etc.*

In one embodiment, porous silicon is used for the porous membrane. Porous silicon is a well-characterized material produced through galvanostatic, chemical, or photochemical etching procedures in the presence of HF (hydrofluoric acid) (A.G. Cullis *et al.*, J. Appl. Phys. 1997, 82, 909.). Porous silicon can be made generally as complex, anisotropic nanocrystalline structure in silicon layers (*cf.*, <http://chem-faculty.ucsd.edu/sailor>) by either electrochemical etching or stain etching to form porous silicon. The size and orientation of the pores can be controlled by the etching conditions (*e.g.*, current density, *etc.*) and substrate type and its electrochemical properties (R.L. Smith, *et al.* "Porous silicon formation mechanisms", J. Appl. Phys., 1992, 71, R1; P.M. Fauchet, "Pits and Pores: Formation, Properties, and Significance for Advanced

Luminescent Materials", P. Schmuki, et al., eds. Pennington, NJ: Electrochem. Soc., 1997, 27). Typical pore sizes range from ~50 angstrom to ~10 μ m with high aspect ration (~250) pores in silicon maintained over a distance of several millimeters.

Another type of porous silicon can be formed by spark erosion (R.E.

- 5 Hummel, et. al., "On the origin of photoluminescence in spark-eroded (porous) silicon," *Appl. Phys. Lett.*, 1993, 63, 2771), resulting in a Si surface with pits and hills of various sizes in the micrometer to nanometers scale. Si nanostructures can be produced by an anisotropic etch followed by oxidation (A.G. Nassiopoulos, et al., "Light emission from silicon nanostructures produced by conventional lithographic and reactive ion etching techniques," *Phys. Stat. Sol. (B)*, 1995, 1990, 91; S.H. Zaidi, et al., "Scalable fabrication and optical characterization of nm Si structures", *In Proc. Symp. Mater. Res. Soc.*, 1995, 358, 957.). Through oxidizing a microcrystalline film deposited by chemical vapor deposition, Si crystallites are passivated by SiO₂ to form nanocrystalline structures (H. Tamura, et al., "Origin of the green/blue luminescence from nanocrystalline silicon," 15 *Appl. Phys. Lett.*, 1994, 65, 92).

With reference to the flowchart of Figure 7a, a process for manufacturing porous membrane N10 (e.g., 110, 310, etc.) in accordance with one embodiment of the invention proceeds as follows. First, in a block 700, porous silicon is etched in a silicon layer of typically ~0.01-50 μ m thickness either electrochemically or by stain etching to 20 form porous silicon. In another embodiment, porous polysilicon (PPSi) is deposited by low-pressure chemical vapor deposition (LPCVD), in accordance with a block 702. The size and orientation of the pores, porosity, grain size, thickness, etc., may be controlled via appropriate etching conditions (e.g., current density, current duration, etc.), deposition conditions (e.g., temperature, pressure, etc.), and also substrate type and its 25 electrochemical properties, etc.

Next, in a block 704, a PSi film (or PPSi film) is physically separated by electropolishing "lift-off" from the PSi-etched or PPSi-deposited silicon and suspended in solution. Alternately, PPSi film may be formed when directly deposited on a substrate (*e.g.*, silicon, quartz, *etc.*), and can be physically separated by any of various standard etching or micromachining techniques. The PSi or PPSi film is then secured within a corresponding recess formed in a substrate half proximate to a cross-channel area in a block 706.

In an alternate process shown in Figure 7b, PPSi is directly deposited over the substrate cavity using LPCVD to form the porous membrane in a block 708.

Subsequently, in a block 710 a channel is etched in the substrate having a portion that passes under the deposited PPSi. Generally, the substrate may comprise any suitable material in which the microfluidic channels may be formed (*e.g.*, silicon, quartz, polydimethyl siloxane (PDMS), SU-8 photoresists), and polymers such as polymethylmethacrylate (PMMA), *etc.*)

15 Real-Time Detection Of Biological and Chemical Molecules/Compounds

As discussed above, in various embodiments the porous membrane may also be manufactured such that it may be used as a sensor in addition to its filtering/sieving/molecular separation capability. For example, the porous membrane may be manufactured to produce a changed optical and/or electrical characteristic in response to being exposed to a targeted fluid or reaction, either through use of the base substrate material (*e.g.*, PSi or PPSi), or through the addition of a sensor layer or through chemical doping and the like. Generally, such PSi or PPSi sensor mechanisms may include but are not limited to optical interferometric reflectivity, capacitance modulation, photoluminescence, optical form birefringence, acoustic, *etc.*

In one embodiment, optical changes may be observed by means of light source 800 and optical detector 802, as shown in Figures 8a-c and 9a-c. (It is noted in these Figures only the volumes occupied by the reactant fluids, also commonly referred to solutes and analytes, and are shown, for clarity. Furthermore, the sizes of the various components are not drawn to scale for clarity. Additionally, the dashes and crosses represent different chemical or biological compounds used for the reactions, wherein different cross-hatch densities and patterns depict different compounds). In general, light source 800 may comprise any device that produces light suitable for detecting a change in a light characteristic of the porous membrane/sensor in combination with corresponding optical detection equipment or devices. For example, in one embodiment light source 800 comprises a laser source that produces light at a specific wavelength.

Depending on the particular optical characteristics of the porous membrane/sensor, visible or invisible light may be used. For visible light wavelengths, in one embodiment at least one of the upper and lower substrates is visibly transparent, meaning the substrate(s) produces minimal attenuation of visible light. In some instances, it may be desirable to use light having a wavelength in the non-visible spectrum (infra-red) if exists. Many substrate materials are "optically translucent" to these wavelengths, meaning these materials enable light having certain non-visible wavelengths to pass through with minimal attenuation. As an option, various viewing hole configuration may be defined in substrates that are opaque to light having a wavelength that may be used to detect the change in the optical characteristic of the porous membrane (not shown).

Generally, a variety of optical detectors may be employed, depending on the particular optical characteristic to be observed. In one embodiment, the optical detector comprises a detector suitable for laser interferometry. Other typical optical

detector include but are not limited to avalanche photodiodes, various photosensors, and other devices used to measure wavelength, phase shift, and or optical energy/power.

Typically, the optical detector may either include build-in data logging facilities, or external data logging equipment may be connected to the optical detector, such as depicted by a data logger 804. As another option, a computer 806 with a data-logging card or an electronic instrument interface, such as the GPIB (General Purpose Instrumentation Bus) interface may be used. The data logger may store the data locally, or on a computer network, such as in a data store hosted by a database or data system or storage area network (SAN) device.

For changes in an electrical characteristic, various electronic instrumentation and/or circuits may be electrically coupled to the porous membrane to sense the changed condition. As discussed above, this may be facilitated by microelectrical traces disposed in the substrate, such as depicted by microelectronic traces 1000 in Figure 10. Optionally, the substrate may be directly wired to external circuitry and/or electrical equipment, such as via wire bonding and the like. In one embodiment, signal conditioning and/or test measurement circuitry may be fabricated directly in the platform substrate, as is common in the semiconductor manufacturing arts, as depicted by integrated circuit 1002. Optionally, such signal conditioning and test measurement circuitry may be provided in an electronic measurement device 1006 and/or computer 1006.

Generally, the size of the channels and the cross-channel reactant area occupied by the porous membrane may be adjusted for the various reactants used in the testing. The flow of the fluids and molecules can be generated by standard microfluidics methods such as hydrostatic pressure, hydrodynamic, electrokinetic, electroosmotic, hydromagnetic, acoustic and ultrasound, mechanical, electrical field induced, heat-induced

and other know methods. The flow-through micro-channel configurations (such as shown in Figures 1a-f, 4a-e, and 5a-e) allow flow-rate control, fluid dilutions, effective wash-out of the channels, minimum back-flow. Optionally, the flow may be blocked for incubations, diffusions, dilutions, *etc.*, using standard microfluidic components and devices. For non-flow through micro-channel configurations, such as shown in Figures 2a-e, 3a-e, and 6a-e, the number of inlets and outlets and size of the cross-channel area can be varied based on functional requirements, reactant behaviors, *etc.* Furthermore, massively parallel configurations in accordance with the principles illustrated by the embodiments of Figures 4a-e and 5a-e may be manufactured and employed for testing. In such instance, the porous membrane at each cross channel may have the same or different functionality (optical, biochemical, electrical, acoustic, *etc.*) as a sensor/detector, molecular separation or sieving filter, bioreactor (with surface modified nanopores, nanopores with immobilized biomolecules, surface coated nanopores, *etc.*)

While the invention is described and illustrated here in the context of a limited number of embodiments, the invention may be embodied in many forms without departing from the spirit of the essential characteristics of the invention. The illustrated and described embodiments, including what is described in the abstract of the disclosure, are therefore to be considered in all respects as illustrative and not restrictive. The scope of the invention is indicated by the appended claims rather than by the foregoing description, and all changes that come within the meaning and range of equivalency of the claims are intended to be embraced therein.

isometric hidden line view, Figure 3d is a plan view including section cut 3e-3e, and
Figure 3e is a cross-section view corresponding to section cut 3e-3e;

Figures 4a-e are various views of a microfluidic device in accordance with
an embodiment of the invention in which an array of porous substrate/sensors are
5 employed, wherein Figure 4a is an exploded isometric view, Figure 4b is an assembled
isometric view, Figure 4c is a plan view including section cuts 4d-4d and 4e-4e, Figure 4d
is a cross-section view corresponding to section cut 4d-4d, and Figure 4e is a cross-section
view corresponding to section cut 4e-4e;

Figures 5a-e are various views of a microfluidic device in accordance with
10 an embodiment of the invention that is a variant of the embodiment of Figures 4a-e in
which a single porous substrate/sensor is employed, wherein Figure 5a is an exploded
isometric view, Figure 5b is an assembled isometric view, Figure 5c is a plan view
including section cuts 5d-5d and 5e-5e, Figure 5d is a cross-section view corresponding to
section cut 5d-5d, and Figure 5e is a cross-section view corresponding to section cut 5e-
15 5e;

Figures 6a-e are various views of a microfluidic device in accordance with
an embodiment of the invention in which a plurality of upper channels meet at an
intersection, wherein Figures 6a and 6b are exploded isometric views, Figure 6c is an
isometric hidden line view, Figure 6d is a plan view including section cut 6e-6e, and
20 Figure 6e is a cross-section view corresponding to section cut 6e-6e;

Figure 7a is a flow chart illustrating operations that may be used to
fabricate a porous membrane in accordance with one embodiment of the invention;

Figure 7b is a flowchart illustrating operations that may be used to fabricate
a porous membrane in accordance with another embodiment of the invention;

CLAIMS

What is claimed is:

- 5 1. An apparatus, comprising:
a substrate having defined therein:
an upper microfluidic channel passing through the substrate along a first
direction;
a lower microfluidic channel, passing through the substrate along a second
10 direction, a portion of the lower microfluidic channel passing beneath a portion of
the upper microfluidic channel to form a cross-channel area; and
a porous membrane disposed between the upper and lower microfluidic channels
proximate to the cross-channel area to form a semi-permeable barrier between the upper
and lower microfluidic channels.
- 15 2. The apparatus of claim 1, wherein the porous membrane comprises porous
nanocrystalline silicon.
3. The apparatus of claim 1, wherein the porous membrane comprises porous
polysilicon.
4. The apparatus of claim 1, further comprising a first reservoir defined in the
20 substrate and linked in fluid communication with one of the upper and lower microfluidic
channels.
5. The apparatus of claim 4, further comprising a second reservoir defined in the
substrate and linked in fluid communication with the other of the upper and lower
microfluidic channels not linked in fluid communication with the first reservoir.
- 25 6. The apparatus of claim 1, further comprising respective reservoirs defined in the
substrate at opposing ends of at least one of the upper and lower microfluidic channels.

7. The apparatus of claim 1, wherein the porous membrane exhibits sensing characteristics causing a change in at least one of an optical and electrical characteristic in response to exposure to one or more particular solutes and/or analytes.
8. The apparatus of claim 7, wherein the sensing characteristic comprises a change in
5 an optical characteristic, and the apparatus further comprises:
a light source to direct a light toward the porous membrane; and
a detector, to receive a portion of light reflected off of and/or emitted by the porous silicon membrane to detect the change in the optical characteristic of the porous membrane.
- 10 9. The apparatus of claim 8, further comprising data collection equipment linked in communication with the detector to collect data pertaining to changes in the optical characteristic of the porous membrane.
10. The apparatus of claim 7, wherein the sensing characteristic comprises an electrical characteristic, and the substrate further includes microelectronic traces operatively coupled
15 to the porous membrane.
11. The apparatus of claim 10, further including an electronic measurement device, coupled to the porous membrane via the microelectronic traces, to measure a change in an electrical characteristic of the porous silicon membrane when it is exposed to said one or more particular fluids.
- 20 12. The apparatus of claim 11, further comprising data collection equipment linked in communication with the electronic measurement device.
13. The apparatus of claim 1, wherein the porous membrane has a median thickness ranging from about 10 nanometers to about 50 micrometers.

14. The apparatus of claim 1, wherein the porous membrane has a plurality of pores having a median diameter ranging from about 50 angstroms to about 10 micrometers.
15. The apparatus of claim 1, wherein the substrate comprises one of a polydimethyl siloxane (PDMS), silicon, quartz, polymer, or polymethylmethacrylate (PMMA) substrate.
16. The apparatus of claim 1, wherein the substrate comprises an upper substrate member in which the upper microfluidic channel is formed and a lower substrate member in which the lower microfluidic channel is formed, said upper and lower substrate members being sandwiched around the porous substrate upon assembly.
- 10 17. An apparatus, comprising:
a substrate having defined therein:
a plurality of upper microfluidic channels passing through the substrate along a first direction;
at least one lower microfluidic channel, passing through the substrate along
15 a second direction, respective portions of said at least one lower microfluidic channel passing beneath respective portions of the upper microfluidic channel to form a plurality of respective cross-channel areas; and
at least one porous membrane disposed between the upper and lower channels proximate to the cross-channel areas to form a semi-permeable barrier between the upper
20 and lower channels in respective areas proximate to the plurality of cross-channel areas.
18. The apparatus of claim 17, wherein said at least one lower microfluidic channel comprises a plurality of channels, and the plurality of cross-channel areas are substantially configured in an array.

19. The apparatus of claim 17, wherein said at least one porous membrane comprise a plurality of porous membranes, each respectively disposed proximate to a respective cross-channel area.
20. The apparatus of claim 17, wherein said at least one porous membrane comprises
5 porous nanocrystalline silicon.
21. The apparatus of claim 17, wherein said at least one porous membrane comprises porous polysilicon.
22. The apparatus of claim 17, wherein the substrate comprises an upper substrate member in which the plurality of upper microfluidic channels are formed and a lower
10 substrate member in which said at least one lower microfluidic channel is formed, said upper and lower substrate members being sandwiched around said at least one porous silicon substrate upon assembly.
23. The apparatus of claim 17, wherein the porous silicon membrane exhibits a sensing characteristic causing a change in at least one of an optical and electrical characteristic in
15 response to exposure to one or more particular solutes and/or analytes.
24. The apparatus of claim 17, wherein the sensing characteristic comprises a change in an optical characteristic, and the apparatus further comprises:
a light source to direct a light toward the porous membrane; and
a detector, to receive a portion of light reflected off of and/or emitted by the porous
20 silicon membrane to detect the change in the optical characteristic of the porous membrane.
25. The apparatus of claim 17, wherein the sensing characteristic comprises an electrical characteristic, and the substrate further includes microelectronic traces operatively coupled to the porous membrane.
- 25 26. An apparatus, comprising:

a substrate having defined therein:

a plurality of upper microfluidic channels formed in the substrate, each upper microfluidic channel having a first end and a second end, said second ends merging together at an intersection;

5 a lower microfluidic channel formed in the substrate and having a first end disposed beneath the intersection; and

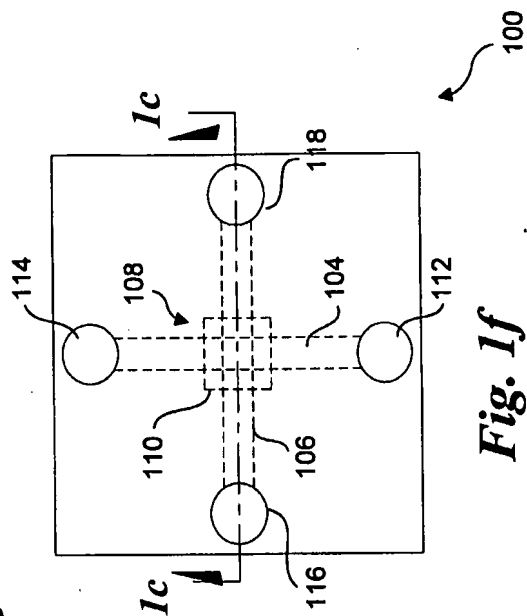
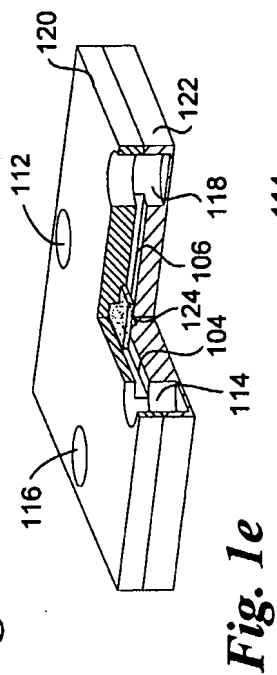
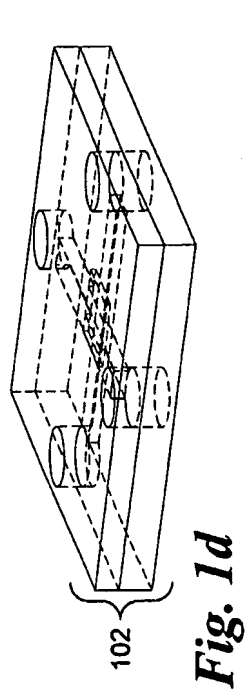
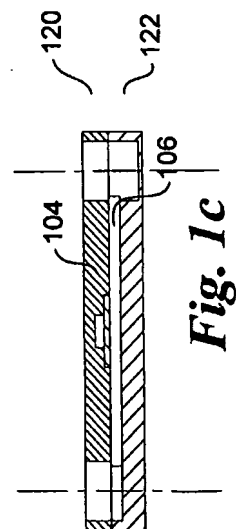
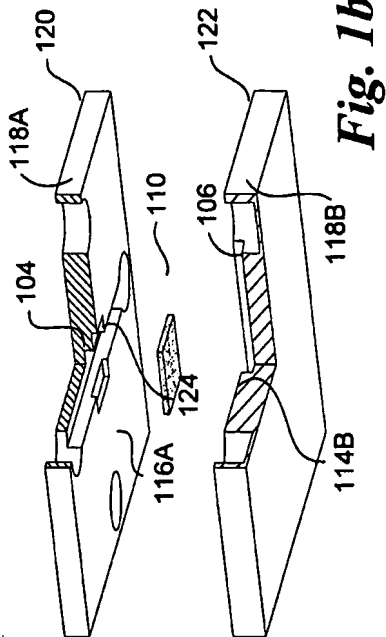
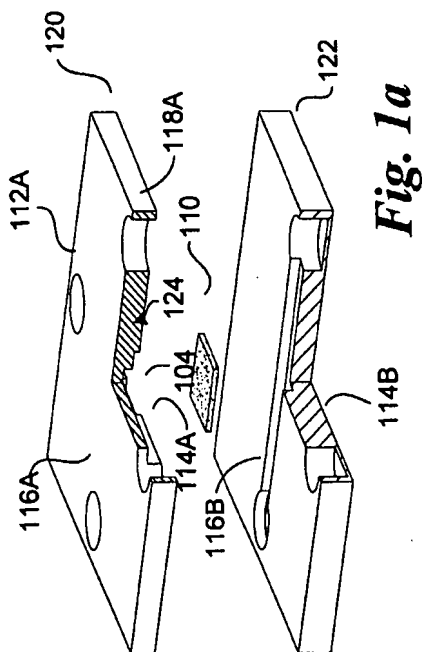
a porous membrane disposed between the upper and lower microfluidic channels proximate to the intersection to form a semi-permeable barrier between the upper and lower microfluidic channels.

10 27. The apparatus of claim 26, further comprising a plurality of reservoirs disposed in the substrate, each respectively disposed a first end of a respective upper microfluidic channel.

28. The apparatus of claim 26, wherein the substrate comprises an upper substrate member in which the plurality of upper microfluidic channels are formed and a lower
15 substrate member in which the lower microfluidic channel is formed, said upper and lower substrate members being sandwiched around the porous silicon substrate upon assembly.

29. The apparatus of claim 26, wherein said at least one porous membrane comprises one of porous nanocrystalline silicon or porous polysilicon.

30. The apparatus of claim 26, wherein the porous membrane exhibits a sensing
20 characteristic causing a change in at least one of an optical and electrical characteristic in response to exposure to one or more particular solutes and/or analytes.



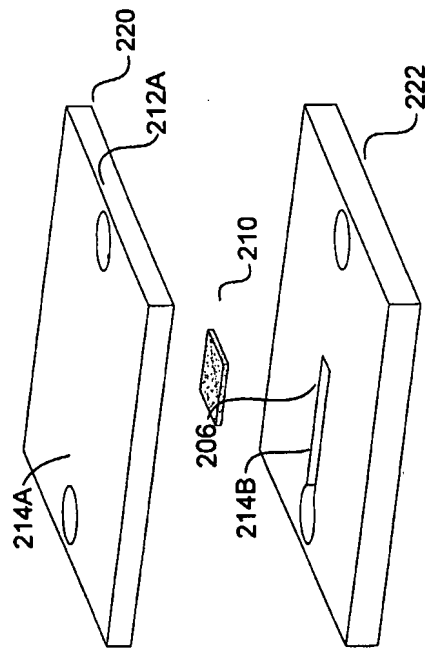


Fig. 2a

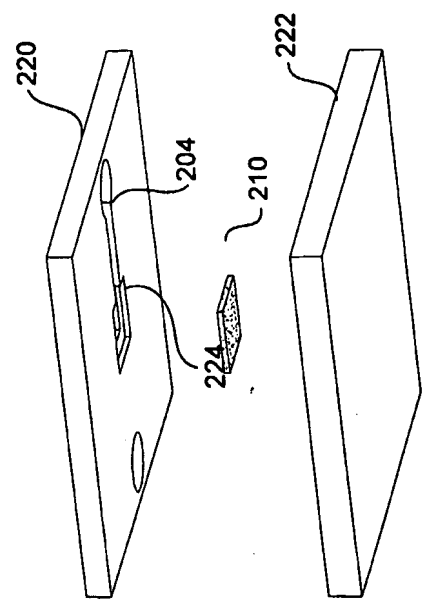


Fig. 2b

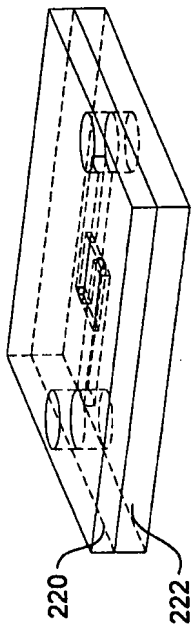


Fig. 2c

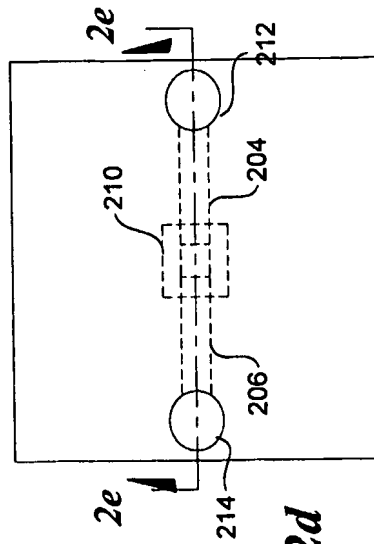


Fig. 2d

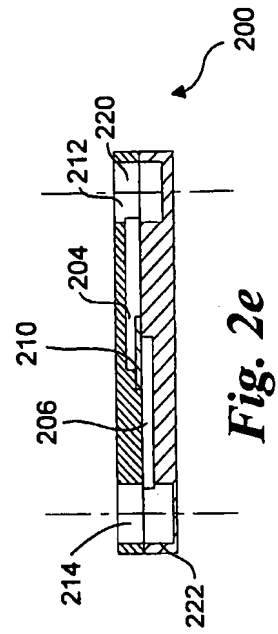


Fig. 2e

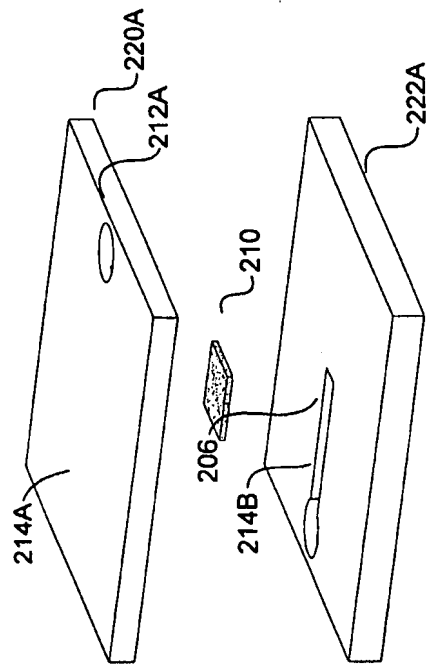


Fig. 3a

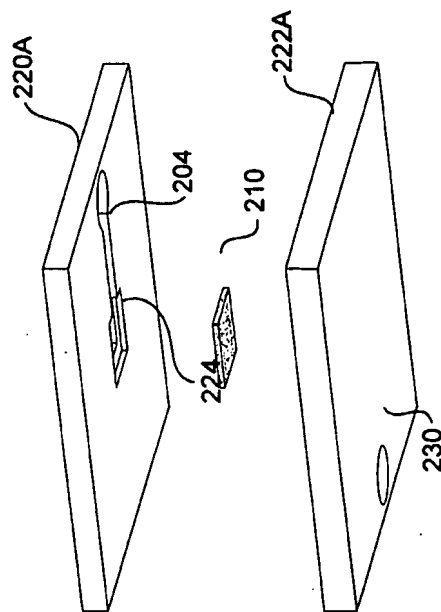


Fig. 3b

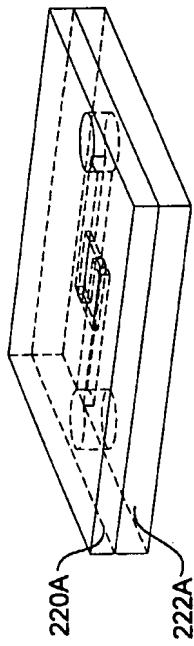


Fig. 3c

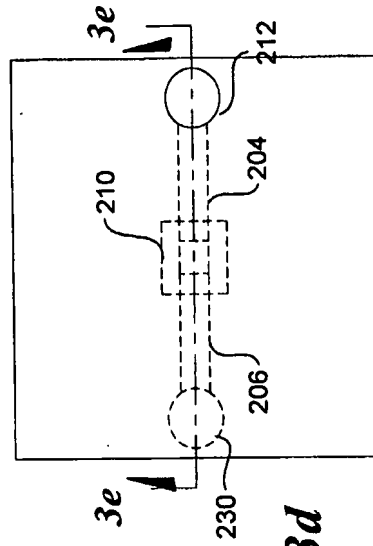


Fig. 3d

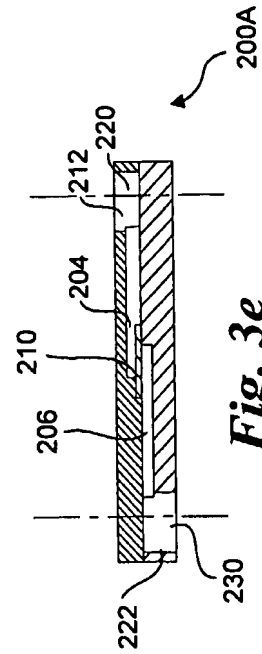


Fig. 3e

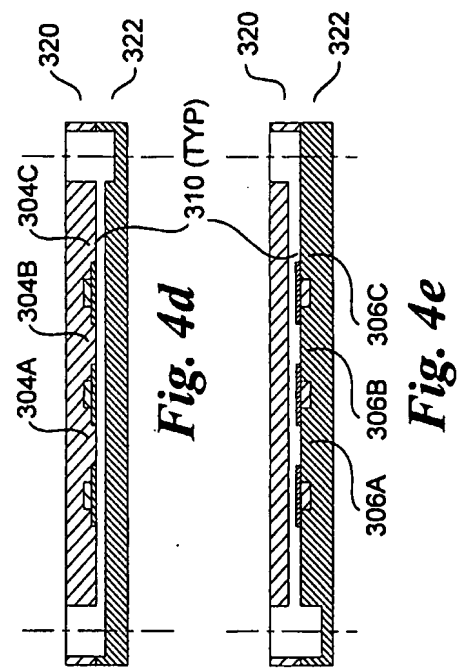
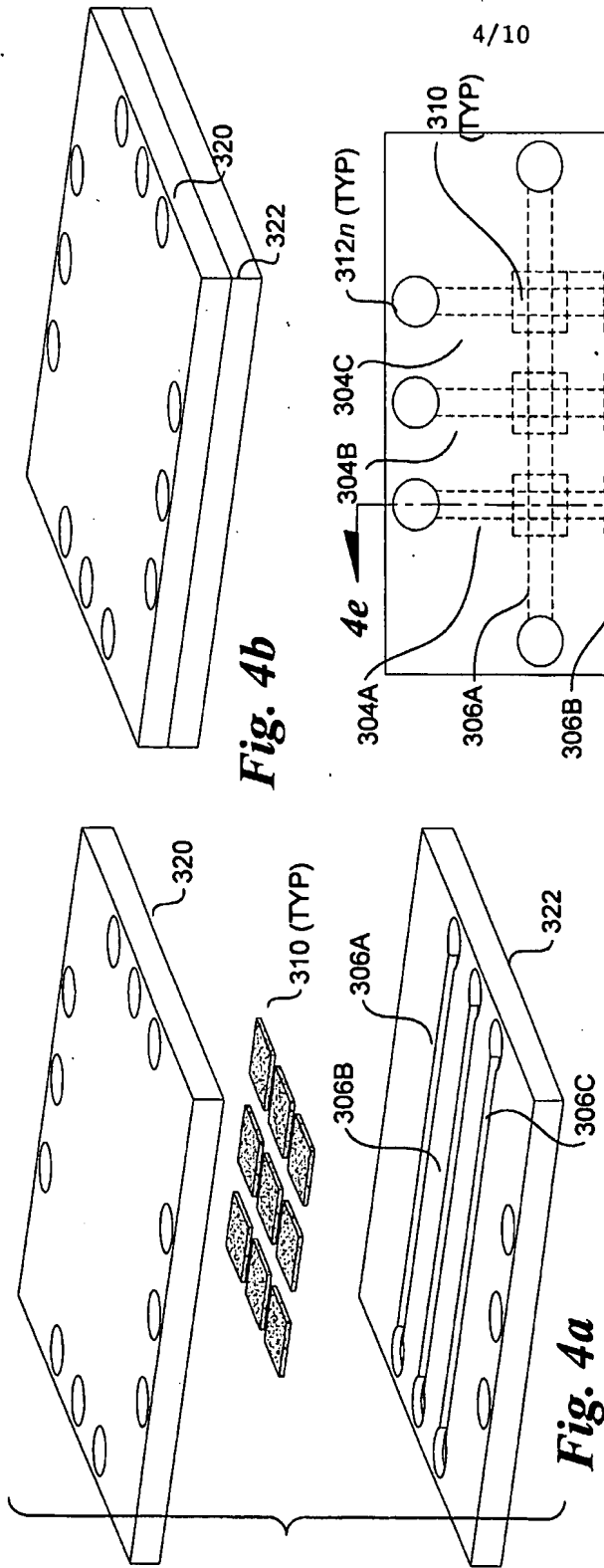


Fig. 4b

Fig. 4a

Fig. 4d

Fig. 4e

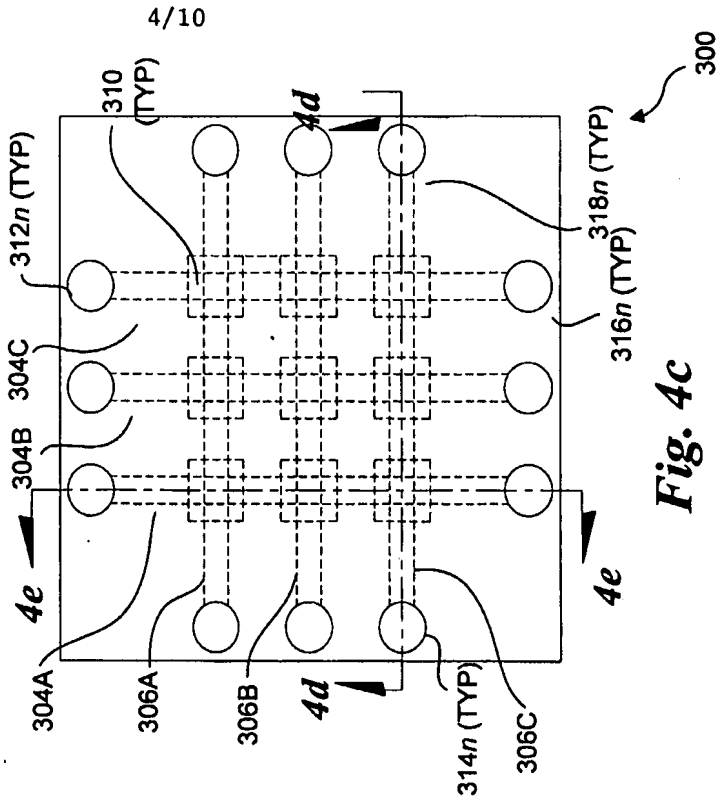


Fig. 4c

5/10

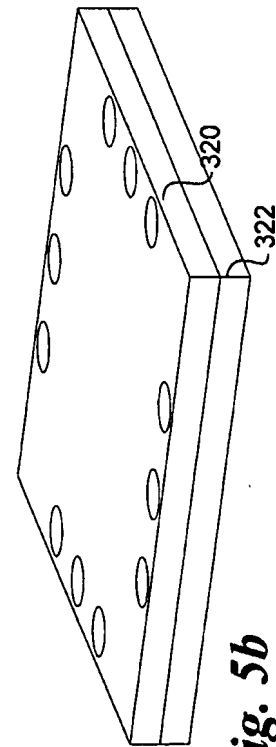


Fig. 5a

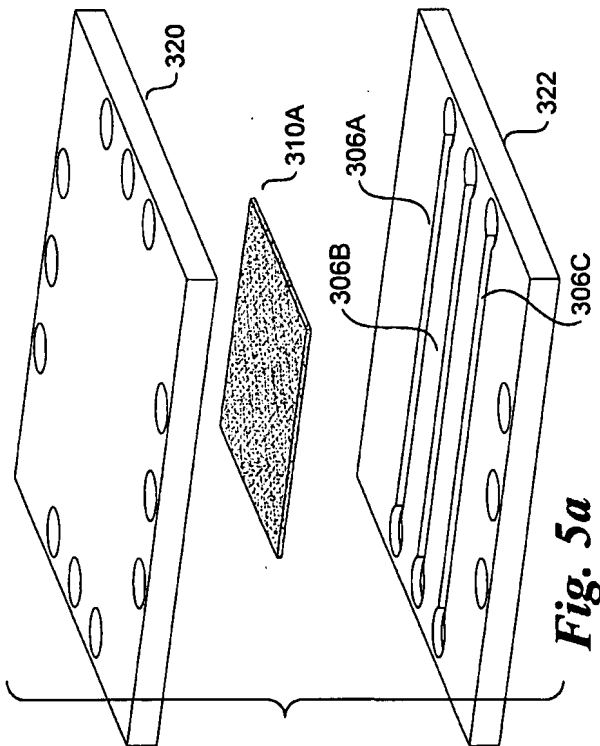


Fig. 5b

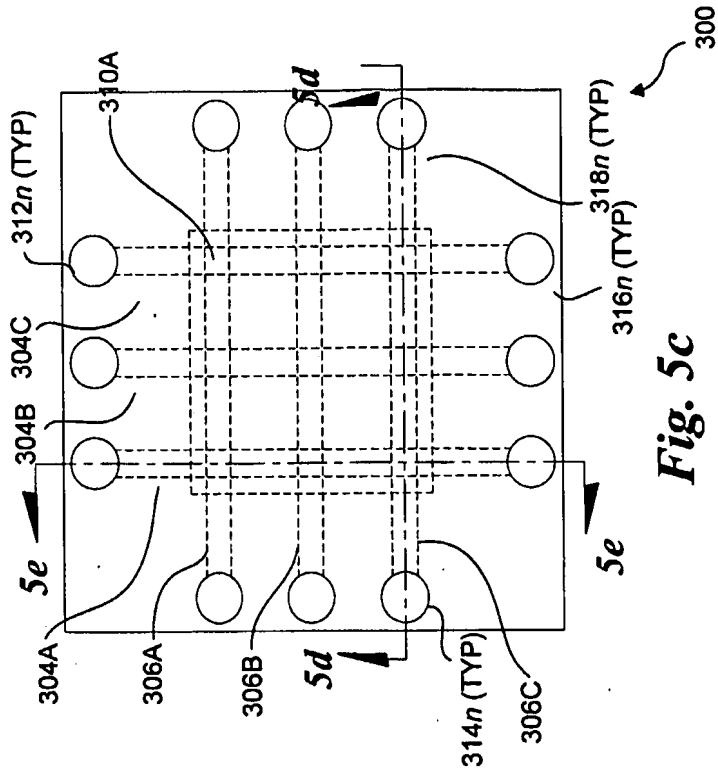


Fig. 5c

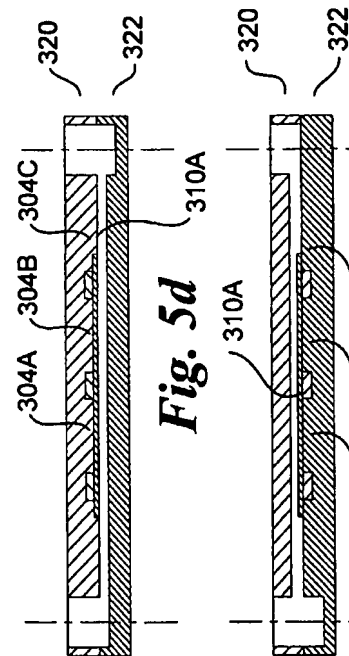


Fig. 5d

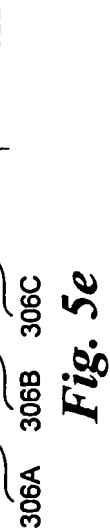


Fig. 5e

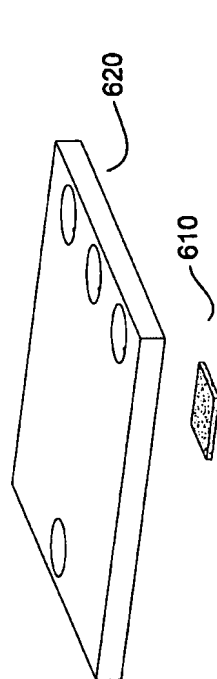


Fig. 6a

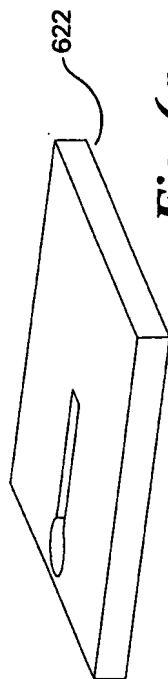


Fig. 6b

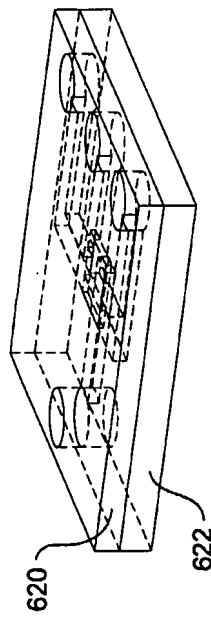
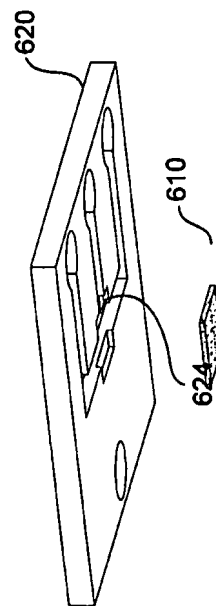


Fig. 6c

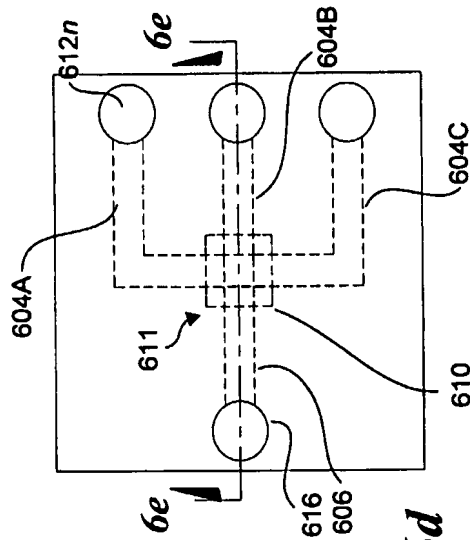


Fig. 6d

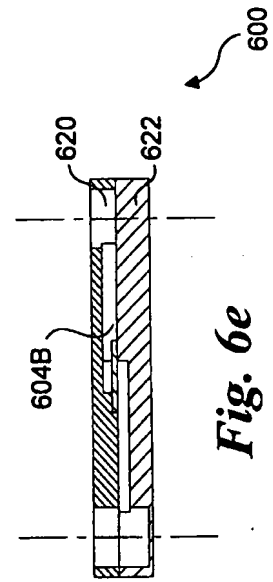
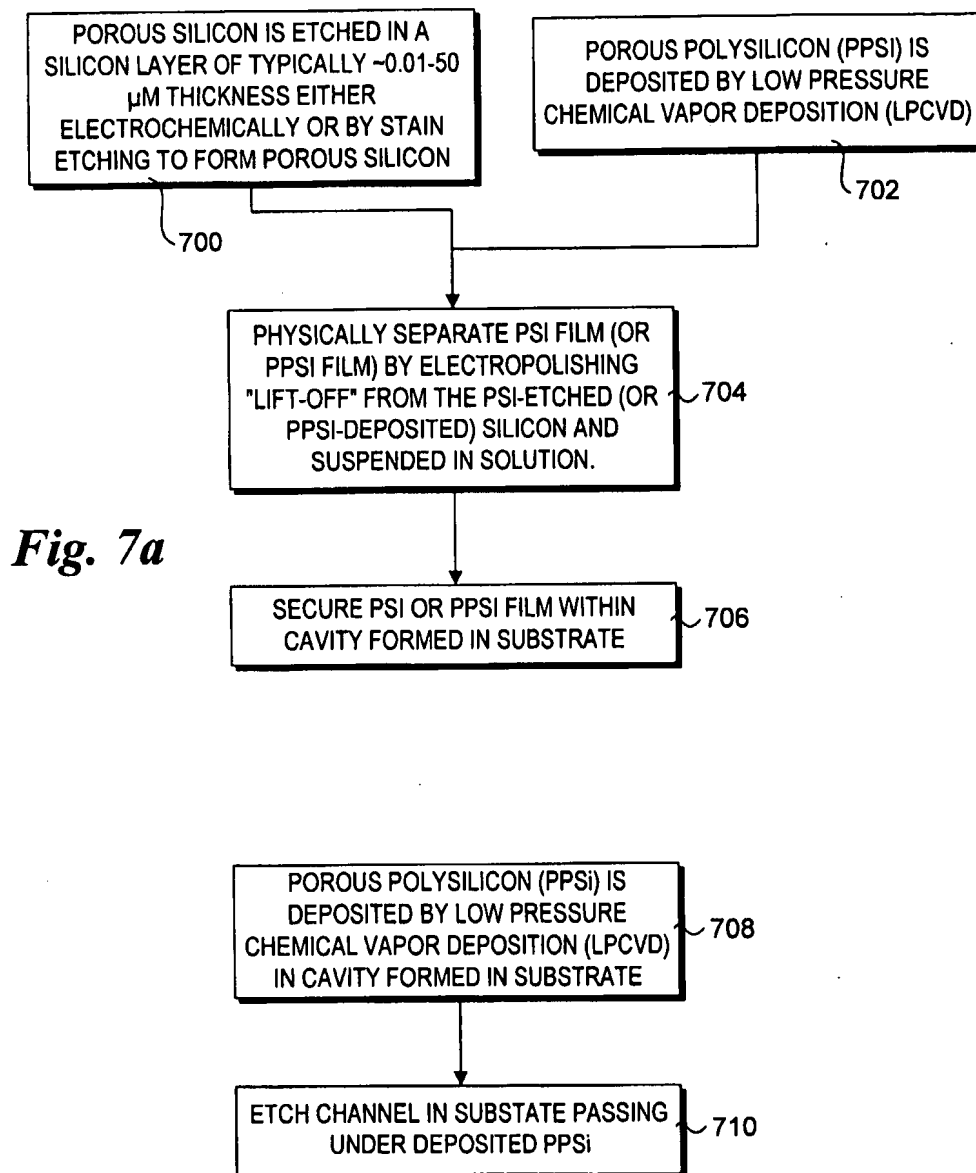
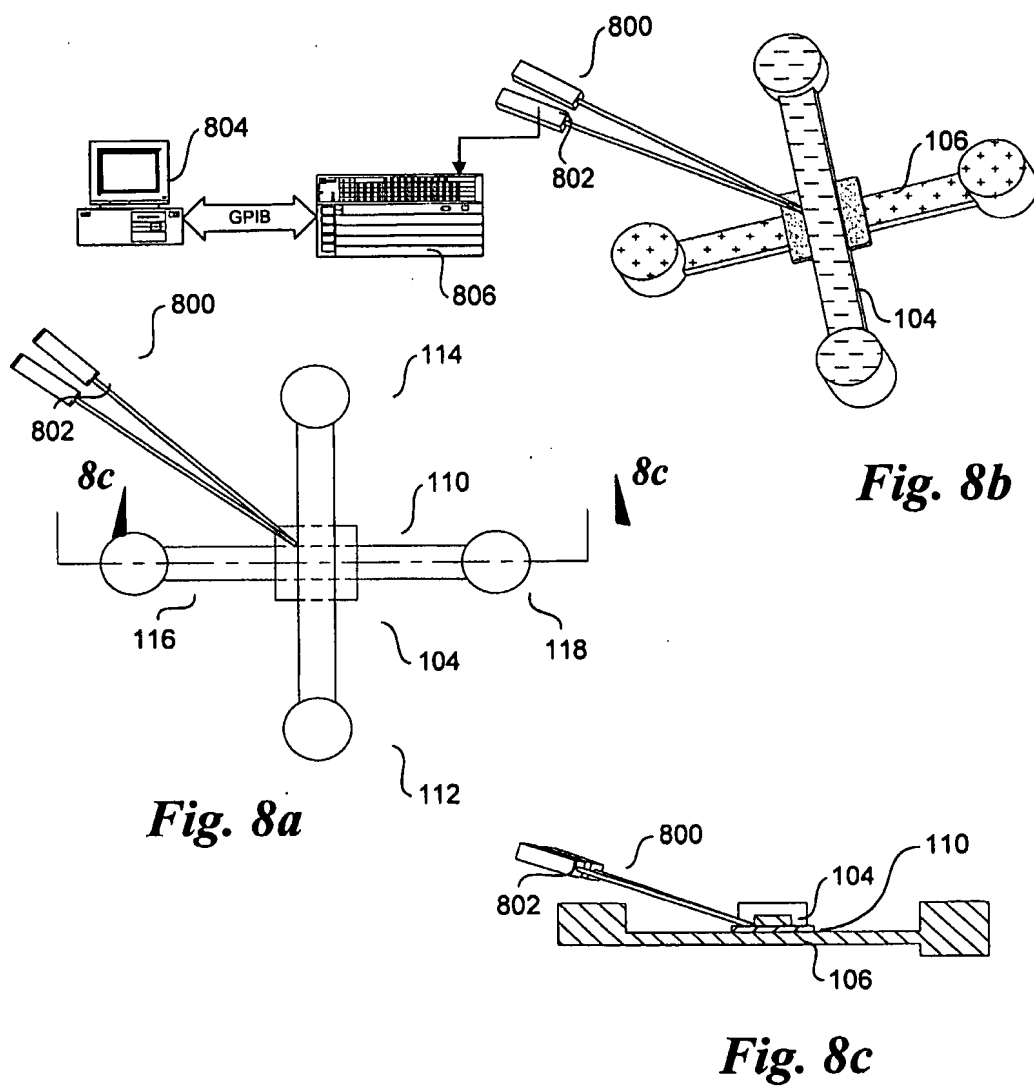


Fig. 6e

7/10



8/10



9/10

Fig. 9a

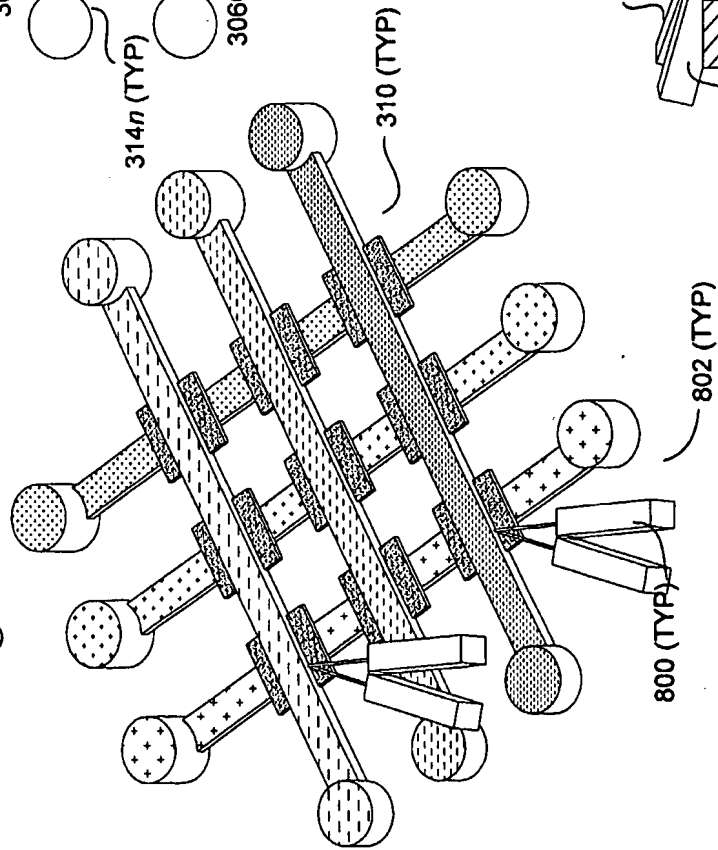


Fig. 9b

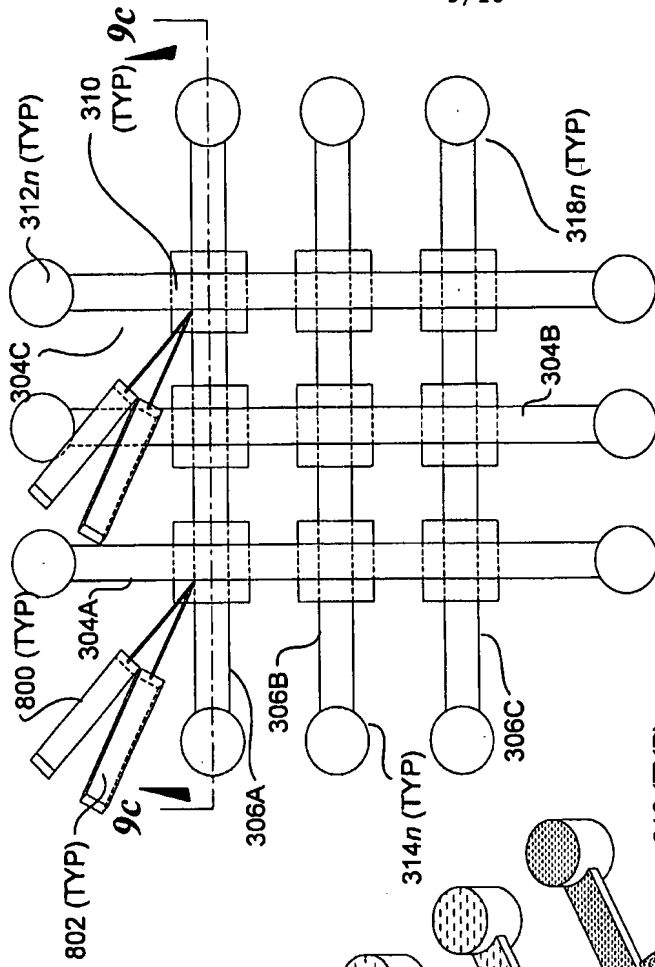
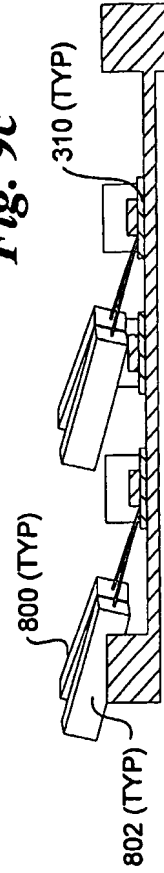
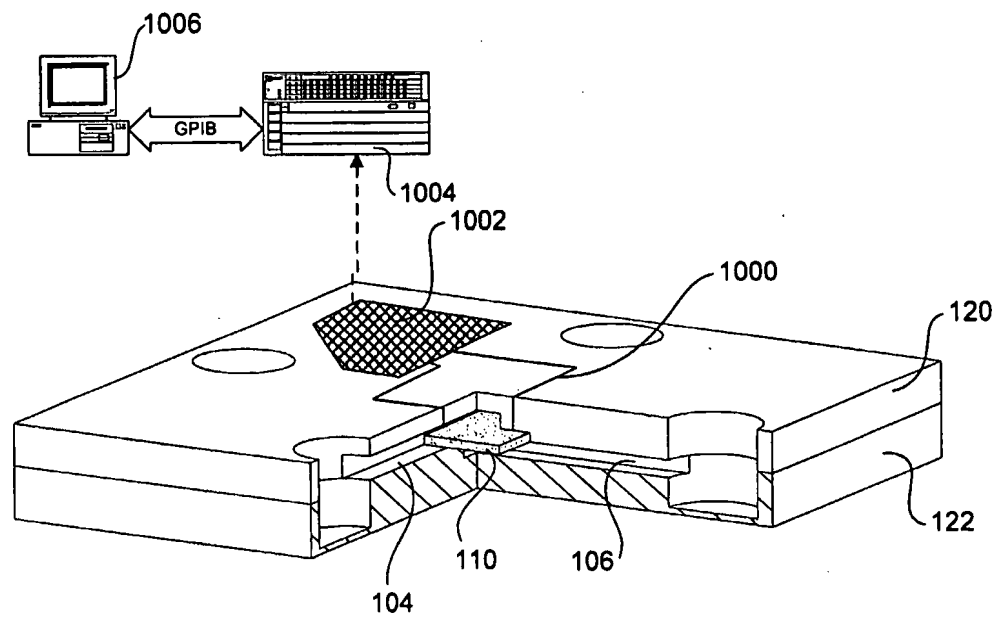


Fig. 9c



10/10

**Fig. 10**

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/28086

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B01L3/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01L F15C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 418 968 B1 (PEZZUTO MARCI ET AL) 16 July 2002 (2002-07-16) figures 1-5 column 1, line 1-17 column 3, line 48 -column 6, line 25 ---	1-30
A	EP 1 226 871 A (EBARA CORP) 31 July 2002 (2002-07-31) figures 1-9 paragraphs '0001!', '0002!', '0007!', '0009!-'0013!', '0017!- '0022!', '0042!', '0043! --- -/--	1-30



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

25 February 2004

Date of mailing of the international search report

03/03/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Wyplosz, N

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/28086

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 919 364 A (HOWE ROGER T ET AL) 6 July 1999 (1999-07-06) figure 3 column 1, line 6 - line 27 column 2, line 23 - line 32 column 2, line 54 -column 3, line 40 column 4, line 44 - line 59 ----	1,17,26
A	EP 1 157 743 A (HOUSTON ADVANCED RES CT) 28 November 2001 (2001-11-28) figures 1-4 ----	1,17,26
A	US 2002/113009 A1 (KARP CHRISTOPH D ET AL) 22 August 2002 (2002-08-22) figure 3 paragraphs '0065!-'0067! ----	1,17,26
A	WO 99 19717 A (SHEA LAURENCE R ;ACLARA BIOSCIENCES INC (US); BJORNSON TORLEIF OVE) 22 April 1999 (1999-04-22) figure 8 page 25, line 1 -page 26, line 8 -----	1,17,26

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/28086

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 6418968	B1	16-07-2002	EP 1379787 A1 14-01-2004 WO 02086332 A1 31-10-2002 US 2003005969 A1 09-01-2003 US 2002153046 A1 24-10-2002
EP 1226871	A	31-07-2002	JP 2002218974 A 06-08-2002 EP 1226871 A2 31-07-2002 US 2002127585 A1 12-09-2002
US 5919364	A	06-07-1999	AU 3310397 A 14-01-1998 EP 0912223 A1 06-05-1999 WO 9749475 A1 31-12-1997 US 6478974 B1 12-11-2002
EP 1157743	A	28-11-2001	EP 1157743 A1 28-11-2001 AT 214633 T 15-04-2002 AU 700315 B2 24-12-1998 AU 1043595 A 22-05-1995 CA 2174140 A1 04-05-1995 DE 69430207 D1 25-04-2002 DE 69430207 T2 19-09-2002 DK 725682 T3 15-07-2002 EP 0725682 A1 14-08-1996 ES 2176308 T3 01-12-2002 JP 9504864 T 13-05-1997 JP 3488465 B2 19-01-2004 PT 725682 T 30-09-2002 WO 9511755 A1 04-05-1995 US 2003044777 A1 06-03-2003 US 5843767 A 01-12-1998
US 2002113009	A1	22-08-2002	AU 8107601 A 18-02-2002 EP 1309404 A2 14-05-2003 WO 0211888 A2 14-02-2002 US 2002097633 A1 25-07-2002 US 2003198130 A1 23-10-2003
WO 9919717	A	22-04-1999	AU 1517999 A 03-05-1999 CA 2306126 A1 22-04-1999 EP 1032824 A1 06-09-2000 JP 2001520377 T 30-10-2001 WO 9919717 A1 22-04-1999